SEC Form 4

Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287
Estimated average bi	urden
hours per response:	0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Wasserman Frederick Gerald</u>				2. Issuer Name and Ticker or Trading Symbol DLH Holdings Corp. [DLHC]						5. Relationship of Reporting Person(s) to Issue (Check all applicable) X Director 10% Owne			
(Last) 4 NOBADEER	(First) DRIVE	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 10/01/2022						Officer (give title below)	Other below	(specify)	
			4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Individual or Joint/Group Filing (Check Applicable				
(Street) PENNINGTON (City)	NJ (State)	08534 (Zip)							Line) X	Form filed by On Form filed by Mo Person			
(0.9)	. ,												
	Tal	ble I - Non	-Derivative S	Securities Acqu	uired,	Disp	osed of, o	or Ben	eficially	v Owned			
		2. Transaction Date (Month/Day/Year)	Execution Date,		3. Transaction Code (Instr.4. Securities Acquired (/ Disposed Of (D) (Instr. 3 5)8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
					Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(

						_								
Common Stock ⁽¹⁾			10/01	/2022		Α		9,617	Α	\$ <mark>0</mark>	24	1,839	D	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		6. Date Expirati (Month/	on Dat	e ear)	7. Title an Amount o Securities Underlyin Derivative Security (I 3 and 4)	f De Se g (In	Price of rivative curity str. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownershi (Instr. 4)

Date

Exercisable

Expiration

Date

v Code (A) (D)

Explanation of Responses:

1. Grant of restricted stock units pursuant to the Company's 2016 Omnibus Equity Incentive Plan. The award vests in full on September 30, 2023.

Remarks:

/s/ Frederick G. Wasserman 10/04/2022

Amount or Number

of

Shares

Title

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.